



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 19 June 2024

TIME: 3.00 pm

VENUE: Committee Room - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

Member

Cllr. Dave Robinson (Chair)
Cllr. Michael Roche (Vice-Chair)
Cllr. Janet Harrison Kelly
Cllr. Joe Johnson
Cllr. Ian Maher
Cllr. Dominic McNabb
Cllr. Dave Neary, PhD
Cllr. Dr. John Pugh
Cllr. Simon Shaw
Cllr. Tom Spring
Rachel Oakes

Substitute

Cllr. Mike Desmond F.R.C.A.
Cllr. Paula Murphy
Cllr. Leslie Byrom C.B.E.
Cllr. James Hansen
Cllr. Laura Lunn-Bates
Cllr. Judy Hardman
Cllr. Catie Page
Cllr. Mike Sammon
Cllr. Mike Sammon
Cllr. Jen Corcoran

COMMITTEE OFFICER: Amy Dyson Democratic Services Officer
Telephone: 0151 934 2045
E-mail: amy.dyson@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

AGENDA

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer to determine whether the Member should withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting or remain in the meeting and vote on the relevant decision.

3. Minutes

(Pages 5 - 14)

Minutes of the meeting held on 20 March 2024

4. Annual Report and Opinion of the Chief Internal Auditor

(To Follow)

Report of the Executive Director of Corporate Resources and Customer Services

5. Corporate Risk Management

(Pages 15 - 74)

Report of the Executive Director of Corporate Resources and Customer Services

6. Risk and Audit Performance

(Pages 75 - 122)

Report of the Executive Director of Corporate Resources and Customer Services

7. External Audit Plan 2023/2024

(Pages 123 - 162)

Report of the Executive Director of Corporate Resources and Customer Services

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| 8. | Draft Statement of Accounts 2023/2024 | (To Follow) |
| | Report of the Executive Director of Corporate Resources and Customer Services | |
| 9. | Financial Management Code | (Pages 163 - 192) |
| | Report of the Executive Director of Corporate Resources and Customer Services | |
| 10. | Treasury Management Position to May 2024 | (Pages 193 - 202) |
| | Report of the Executive Director of Corporate Resources and Customer Services | |
| 11. | Sending / Discussing Personal Information Policy | (Pages 203 - 214) |
| | Report of the Executive Director of Corporate Resources and Customer Services | |
| 12. | Unreasonably Persistent and Unacceptable Behaviour Policy | (Pages 215 - 236) |
| 13. | Work Programme Update Report | (Pages 237 - 246) |
| | Report of the Executive Director of Corporate Resources and Customer Services | |